

COPY OF PA
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

MAY 29 2002

1048

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/079,843	02/22/2002	Dan Plankenhorn	J-3133

CONFIRMATION NO. 7561

28165
S.C. JOHNSON & SON, INC.
1525 HOWE STREET
RACINE, WI 53403-2236

FORMALITIES LETTER



OC000000007655166

Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

18 03 2002 10:00 AM RECEIVED

11 03 2002 10:00 AM RECEIVED

52



COPY OF PAPERS
ORIGINALLY FILED

J-3133
PATENTS

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel J. Plankenhorn
Serial No: 10/079,843
Filed: February 22, 2002
Title: Cleaning Apparatus With Continuous Action Wiping and Sweeping
Group Art: 1744

S. C. Johnson & Son, Inc.
1525 Howe Street
Racine, WI 53403-2236
May 10, 2002

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

In response to the Notice to File Missing Parts of Application dated March 18, 2002, enclosed is a fully executed original Combined Declaration and Power of Attorney for Patent Application filed February 22, 2002 by the applicants, under U.S. Serial No. 10/079,843.

Please charge our Deposit Account No. 10-0849 in the amount of \$130.00 to cover the surcharge required to file this document. Any additional fees or overpayments should also be charged to our Deposit Account No. 10-0849.

A copy of the Notice dated March 18, 2002 also accompanies this communication.

Respectfully submitted,

Attorney for Applicant

I hereby certify that this correspondence is being
sent by the United States Postal Service as
first class mail in an envelope addressed to
Commissioner for Patents, Washington
D.C. on 5/20/02
S. C. JOHNSON & SON, INC.
By Sharon L. Kline
Date 5/20/02

By:

Frank B. McDonald
Registration No. 28,738
(262) 260-2045

RECEIPT
9 #2

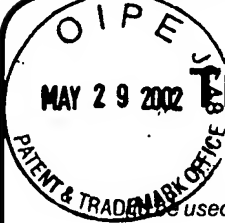
COPY OF PAPERS
ORIGINALLY FILED

Please type a plus sign (+) inside this box → ☐

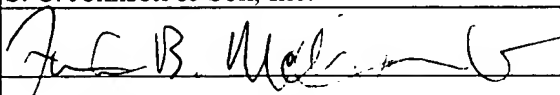
Approved for use through 10/31/2002. OMB 0651-0031

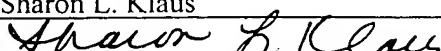
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 TRANSMITTAL FORM <small>Use for all correspondence after initial filing</small>	Application Number	10/079,843
	Filing Date	February 22, 2002
	First Named Inventor	Daniel J. Plankenhorn
	Group Art Unit	1744
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	J-3133

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Request For Corrected Filing Receipt and copy of Filing Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	IF THERE ARE ANY CHARGES, PLEASE CHARGE TO OUR DEPOSIT ACCOUNT 10-0849.
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Frank B. McDonald S. C. Johnson & Son, Inc.
Signature	
Date	May 20, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>5/20/02</u>			
Typed or printed name	Sharon L. Klaus		
Signature		Date	5/20/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL
for FY 2002

MAY 29 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/079,843
Filing Date	February 22, 2002
First Named Inventor	Daniel J. Plankenhorn
Examiner Name	
Group Art Unit	1744
Attorney Docket No.	J-3133

TOTAL AMOUNT OF PAYMENT (\$)
155.00**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 10-0849

Deposit Account Name S.C. JOHNSON & SON, INC.

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

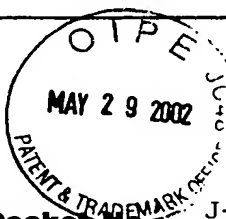
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) Request for Corrected Filing Receipt			25

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)
155.00**SUBMITTED BY**

Name (Print/Type)	Frank B. McDonald	Registration No. (Attorney/Agent)	28,738	Telephone	262-260-2045
Signature	<i>Frank B. McDonald</i>			Date	5/29/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

Practitioner's Docket No.

J-3133

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Application No.: 0 10/079,843

Group No.: 1744

Filed: February 22, 2002

Examiner:

For: "Cleaning Apparatus With Continuous" Action Wiping and Sweeping

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

NOTE: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

☒ Incorrectly entered

and/or

☐ omitted.

Error in

1. ☒ Applicant's name
2. ☐ Applicant's address
3. ☐ Title
4. ☐ Filing Date
5. ☐ Application Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

Correct data

1. Daniel J. Plankenhorn
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date:

May 20, 2002

Signature

Sharon L. Klaus
Sharon L. Klaus

(type or print name of person certifying)

(Request for Corrected Filing Receipt [5-8]—page 1 of 2)

4. The error in the originally recorded cover sheet is apparent when that cover sheet is compared with the recorded document because:

The error occurred when the application was filed and the inventor's name did not get submitted correctly.

5. The recording fee is shown in the corrected cover sheet filed herewith. Payment of this recording fee is in the manner set forth in the corrected cover sheet.

NOTE: "A fee is required for each application, patent and registration against which the document is recorded as identified in the cover sheet." 37 CFR 3.41



SIGNATURE OF PRACTITIONER

Reg. No.: 28,738

Frank B. McDonald

(type or print name of practitioner)

Tel. No.: (262) 260-2045

S. C. Johnson & Son, Inc.

P.O. Address
1525 Howe Street

Customer No.: 28,165

Racine, Wisconsin 53403-2236

(Correction of Recorded Cover Sheet Error(s) [16-8]—page 2 of 2)

COPY OF PAPER
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

MAY 29 2002

APPLICATION NUMBER	FILING DATE	CRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/079,843	03/18/2002	1744	1862	J-3133	13	73	5

28165
S.C. JOHNSON & SON, INC.
1525 HOWE STREET
RACINE, WI 53403-2236

Applicant #
Applicant
date
title
applicant

COMPUTER

CONFIRMATION NO. 7561

FILING RECEIPT



OC00000007655165

Date Mailed: 03/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Daniel J.
Plankenhorn, Milwaukee, WI;

Assignment For Published Patent Application
S.C. JOHNSON & SON, INC., Racine, WI;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/15/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Cleaning apparatus with continuous action wiping and sweeping

Preliminary Class

015